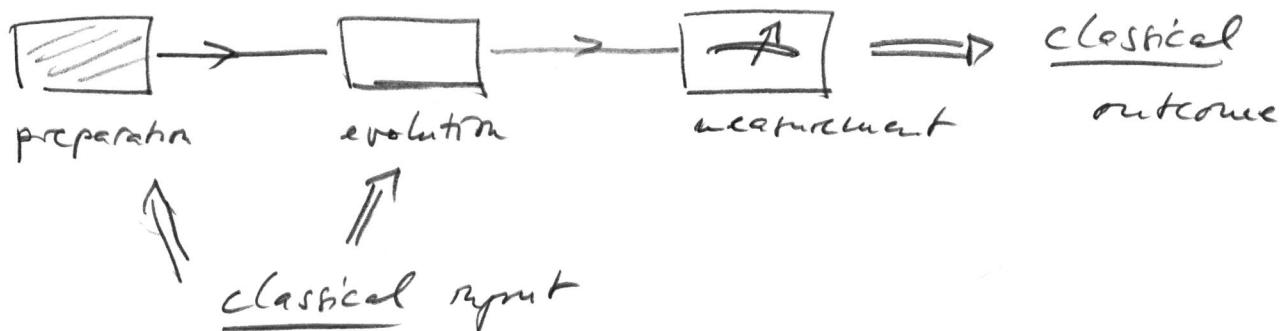


## II. The formalism: States, measurements, evolution

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### 1. Pure states, unitary evolution, projective measurements

Q.M. setup:



Q.M. system  $\rightarrow$  Hilbert space  $\mathcal{H} \cong \mathbb{C}^d$   
(Q.info: typ. frme dim. H.S.)

State of system: vector  $|ψ\rangle \in \mathcal{H}$  with  $\|ψ\|^2 = \langle ψ | ψ \rangle = 1$ .  
(more precisely: rays  $|ψ\rangle \sim e^{iφ}|ψ\rangle$ )

Use ket-bra notation:

$|v\rangle \in \mathbb{C}^d$ : column vector

$\langle v | = (\langle v |)^+$ : row vector

$\langle w | v \rangle$ : scalar product ( $\vec{w}^T \vec{v} = \vec{w} \cdot \vec{v}$ )

## Basis notation:

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"Computational basis"  $|0\rangle, |1\rangle, \dots, |d-1\rangle$  of  $\mathbb{C}^d$

$$|k\rangle \stackrel{\Delta}{=} e_k = \begin{pmatrix} 0 \\ \vdots \\ 0 \\ 1 \\ 0 \end{pmatrix} \quad k\text{'th position}$$

$$|\psi\rangle = \sum_{k=0}^{d-1} \psi_k |k\rangle = \begin{pmatrix} \psi_0 \\ \vdots \\ \psi_{d-1} \end{pmatrix}$$

## Linear operations:

$M: \mathbb{C}^d \rightarrow \mathbb{C}^d$  is linear,

$$M(\alpha|\psi\rangle + \beta|\omega\rangle) = \alpha M(|\psi\rangle) + \beta M(|\omega\rangle)$$

$$\text{Write } M|\psi\rangle \equiv M(|\psi\rangle).$$

## Matrix notation / expansion:

$$\begin{aligned} M &= \left( \sum_{i=0}^{d-1} |i\rangle \langle i| \right) M \left( \sum_{j=0}^{d-1} |j\rangle \langle j| \right) = \\ &= \sum_{ij=0}^{d-1} M_{ij} |i\rangle \langle j| = \begin{pmatrix} M_{00} & M_{01} & \cdots \\ M_{10} & \ddots & & \\ \vdots & & & \\ & & & M_{d-1,d-1} \end{pmatrix} \end{aligned}$$

$$\text{with } M_{ij} = \langle i | M | j \rangle.$$

### (i) Preparation:

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Prepares known initial state  $|\phi\rangle \in \mathbb{C}^d$ .

### (ii) Evolution:

Evolution = unitary transformation  $U: \mathbb{C}^d \rightarrow \mathbb{C}^d$ ;  
 $|\phi\rangle \mapsto U|\phi\rangle$ .

$$U \text{ unitary} \iff U^+U = UU^+ = I \leftarrow \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

$$(or: \sum (U^+)_{kj} U_{jk} = \sum \bar{U}_{ji} U_{jk} = \delta_{ik})$$

(Note 1:  $\langle \phi | U^+ U | \phi \rangle = \langle \phi | \phi \rangle = 1 \Rightarrow \text{norm preserved.}$ )  
if and only if  $U$  unitary.)

(Note 2:  $U$  can be represented generated by time evolution w/ Hamiltonian.)

### (iii) Measurement:

Observable quantities = hermitian operator  $A = A^+$

Eigenvalue decomposition:

$$A = \sum_n a_n E_n ; \quad E_n^2 = E_n = E_n^+ \text{ projector onto eigenpace (e.g., } E_a = |Y_a X Y_a|)$$

Measurement of A in state  $|\phi\rangle$ :

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Outcome  $a_n$  w/ prob.  $p_n = \langle \phi | E_n | \phi \rangle = \|E_n|\phi\rangle\|^2$   
 $(= |\langle \psi_n | \phi \rangle|^2)$

(Note:  $\sum p_n = \langle \phi | \sum_{n=1}^{\infty} E_n | \phi \rangle = \langle \phi | \phi \rangle = 1$ )

State after meas.:

$$|\phi_n\rangle = \frac{E_n|\phi\rangle}{\|E_n|\phi\rangle\|}$$

Expectation value:

$$\langle \phi | A | \phi \rangle = \sum a_n \langle \phi | E_n | \phi \rangle$$

## 2. Composite systems

Consider system w/ two separate parts ("subsystems")  
A (= Alice) and B (= Bob).



$\Rightarrow$  joint system: Hilbert space  $\mathcal{H}_{AB} = \mathcal{H}_A \otimes \mathcal{H}_B$ . (13)

What is general form of  $| \phi \rangle \in \mathcal{H}_{AB} = \mathcal{H}_A \otimes \mathcal{H}_B$ ?

$| i \rangle_A$  basis of  $\mathcal{H}_A = \mathbb{C}^{d_A}$

$| j \rangle_B$  basis of  $\mathcal{H}_B = \mathbb{C}^{d_B}$

$$\Rightarrow | i \rangle_A \otimes | j \rangle_B = | i \rangle_A | j \rangle_B = | ij \rangle_{AB} = | ij \rangle_{AB} = | ij \rangle$$

is a basis of  $\mathcal{H}_{AB} = \mathcal{H}_A \otimes \mathcal{H}_B = \mathbb{C}^{d_A} \otimes \mathbb{C}^{d_B} \cong \mathbb{C}^{d_A d_B}$ ,

$i = 0, \dots, d_A - 1; j = 0, \dots, d_B - 1$ .

General state  $| \phi \rangle_{AB} \in \mathcal{H}_A \otimes \mathcal{H}_B$ :

$$| \phi \rangle_{AB} = \sum_{\substack{i=0, \dots, d_A-1 \\ j=0, \dots, d_B-1}} c_{ij} | i \rangle_A | j \rangle_B : d_A \cdot d_B - \text{dim. vector } (c_{ij})_j$$

What if A acts w/  $N_A$  on her system, and/or B w/  $N_B$  in her?

(Note:  $N_A, N_B$  could be unitaries, measurements ( $E_n$ ), or "do nothing";  $N_B = I_B$ .)

Consider first  $|\phi\rangle_{AB} = |i\rangle_A \otimes |j\rangle_B$ .

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Action of A should only change her system (as if B wasn't there):

$$|i\rangle_A \mapsto \pi_A |i\rangle_A, |i\rangle_A \otimes |j\rangle_B \mapsto (\pi_A |i\rangle_A) \otimes |j\rangle_B$$

Same for Bob, similarly:

$$\begin{aligned} |i\rangle_A \otimes |j\rangle_B &\mapsto \pi_A |i\rangle_A \otimes \pi_B |j\rangle_B \\ &= (\pi_A \otimes \pi_B) |i\rangle_A \otimes |j\rangle_B \end{aligned}$$

Linearity:

$$|\phi\rangle_{AB} \mapsto (\pi_A \otimes \pi_B) |\phi\rangle_{AB}$$

Matrix elements:

$$\langle i_A i_B | \pi_A \otimes \pi_B | j_A j_B \rangle = \langle i_A | \pi_A | j_A \rangle \langle i_B | \pi_B | j_B \rangle$$

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$$(\pi_A \otimes \pi_B)_{(i_A i_B), (j_A j_B)}$$

$$(\pi_A)_{i_A j_A} \cdot (\pi_B)_{i_B j_B}$$

$$\pi_A \otimes \pi_B = \left( \begin{array}{c|c|c} (\pi_A)_{00} \cdot \pi_B & (\pi_A)_{01} \cdot \pi_B & \dots \\ \hline (\pi_A)_{01} \cdot \pi_B & \dots & \dots \\ \vdots & & \end{array} \right)$$

Examples:Qubit:  $\mathcal{H} = \mathbb{C}^2$ ,

$$|\psi\rangle = \alpha|0\rangle + \beta|1\rangle; \quad |\alpha|^2 + |\beta|^2 = 1$$

Observable  $Z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} = \underbrace{|0\rangle\langle 0|}_{E_0} - \underbrace{|1\rangle\langle 1|}_{E_1}$   
 $a_0 = +1 \quad a_1 = -1$

Measurement!

outcome  $a_0 = +1$  w/ prob.  $\langle + | E_0 | \psi \rangle = |\alpha|^2$

outcome  $a_1 = -1$  w/ prob.  $\langle - | E_1 | \psi \rangle = |\beta|^2$

Observable  $X = |0\rangle\langle 1| + |1\rangle\langle 0| = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} = \underbrace{|+\rangle\langle +|}_{E_+} - \underbrace{|-\rangle\langle -|}_{E_-}$   
 $\text{or } H \quad |\pm\rangle = \frac{1}{\sqrt{2}}(|0\rangle \pm |1\rangle)$

Measurement: outcomes  $\pm$  w/ prob.  $|\langle \pm | (\alpha|0\rangle + \beta|1\rangle)|^2 = \frac{|\alpha \pm \beta|^2}{2}$

Evolution:  $U = H = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix}$  "Hadamard gate"

$$U|\psi\rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix} (\alpha|0\rangle + \beta|1\rangle)$$

$$= \frac{\alpha + \beta}{\sqrt{2}} |0\rangle + \frac{\alpha - \beta}{\sqrt{2}} |1\rangle$$

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Meas. in 2-basis  $\{|0\rangle, |1\rangle\}$ outcome 0 w/ prob.  $\frac{|\alpha + \beta|^2}{2}$ outcome 1 w/ prob.  $\frac{|\alpha - \beta|^2}{2}$  $H$  transforms betw.  $X$  and 2 basis.

$$\text{In fact, } H = |+X0| + |-X1| = |0X+1 + |1X-1 = H^T$$

Measurement on bipartite state:

$$|4\rangle = \frac{1}{\sqrt{2}} (|01\rangle - |10\rangle) = \frac{1}{\sqrt{2}} (|+-\rangle + |-+\rangle)$$

Alice &amp; Bob measure Z:

project onto  $|00\rangle, |01\rangle, |10\rangle, |11\rangle$ 

$$\Rightarrow P_{01} = P_{10} = \frac{1}{2}; P_{00} = P_{11} = 0$$

Alice &amp; Bob measure X:

project onto  $|++\rangle, |+-\rangle, |-+\rangle, |--\rangle$ :

$$|<++|4\rangle|^2 = 0$$

$$|<+-|4\rangle|^2 = \left| -\frac{1}{2\sqrt{2}} - \frac{1}{2\sqrt{2}} \right|^2 = \frac{1}{2}$$

$$|<-+|4\rangle|^2 = \dots = \frac{1}{2}$$

$$|<--|4\rangle|^2 = 0$$

$$(\text{using } \langle +|0 \rangle = \langle +|1 \rangle = \langle -|0 \rangle = -\langle -|1 \rangle = \frac{1}{\sqrt{2}})$$
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$\Rightarrow$  perfect anti-correlation

(in fact, in any basis  $\rightarrow$  Homework!)

Alice meas  $X$ , Bob meas  $Z$ :

$$|\langle +|4 \rangle|^2 = \left|-\frac{1}{2}\right|^2 = \frac{1}{4}$$

$$|\langle +|1 \rangle|^2 = \left|\frac{1}{2}\right|^2 = \frac{1}{4}$$

$$|\langle -|4 \rangle|^2 = \dots = \frac{1}{4}$$

$$|\langle -|1 \rangle|^2 = \dots = \frac{1}{4}$$

Outcomes for  $A \& B$  are separately completely random,  
 but outcomes in the same basis are perfectly anti-cor.

### 3. Mixed States

Consider bipart. state  $|4\rangle_{AB} = \sum c_{ij} |i\rangle_A |j\rangle_B$

We have only access to A.

→ How can we characterize measurement on A?

Meas.  $\Pi$  on A  $\iff$  meas.  $\Pi_A \otimes \Pi_B$  on A+B.

$$\langle 4 | \Pi_A \otimes \Pi_B | 4 \rangle = \sum c_{ij}^* c_{ij} \langle i' | \langle j' | (\Pi_A \otimes \Pi_B) | i \rangle | j \rangle c_{ij}$$

$$= \sum c_{ij}^* c_{ij} \langle i' | \Pi_A | i \rangle \underbrace{\langle j' | j \rangle}_{= \delta_{jj}}$$

$$= \sum_{ii'} \left( \sum_j c_{ij}^* c_{ij} \right) \langle i' | \Pi_A | i \rangle = (*)$$

Define  $\rho_A$  ( $d_A \times d_A$  matrix) via  $(\rho_A)_{ii'} = \sum_j c_{ij}^* c_{ij} = \tilde{C} \tilde{C}^\dagger$

(w.R.  $\tilde{C} = (c_{ij}^*)_{ij}$ ), or equiv.  $\rho_A = \sum_{ij} c_{ij}^* c_{ij} |i\rangle \langle i'|$

$$\dots (*) = \text{tr}[\rho_A \Pi],$$

with the trace  $\text{tr}(X) = \sum \langle k | X | k \rangle$  ONB!

Note: The trace is cyclic:  $\text{tr}(AB) = \sum_k \langle k | AB | k \rangle$

$$= \sum_{k\ell} \langle k | A | \ell \rangle \langle \ell | B | k \rangle = \sum_{k\ell} \langle \ell | B | k \rangle \langle k | A | \ell \rangle$$

$$= \sum \langle \ell | BA | \ell \rangle = \text{tr}(BA),$$

and thus Satisfies - a dep:  $\text{tr}(x) = \text{tr}(u^+ux) = \text{tr}(uxu^*)$ . (19)

$\rho_A$  is called density operator or density matrix, or mixed state.

It characterizes systems where we only have partial knowledge.

Properties of  $\rho_A$ :

•  $\rho_A = \tilde{C}\tilde{C}^+ \Rightarrow \rho_A^+ = (\tilde{C}\tilde{C}^+)^+ = \tilde{C}\tilde{C}^+ = \rho_A$

•  $\rho_A$  is positive semi-definite ( $\Rightarrow$  all eigenvalues  $\geq 0$ ),  $\rho_A \geq 0$ :

$$\langle \phi | \rho_A | \phi \rangle = \langle \phi | \tilde{C}\tilde{C}^+ | \phi \rangle = (\tilde{C}|\phi\rangle)(\tilde{C}^+|\phi\rangle) \geq 0 \quad \forall |\phi\rangle.$$

•  $\text{tr}(\rho_A) = \sum_i (\tilde{C}\tilde{C}^+)^{ii} = \sum_{ij} c_{ij}c_{ij}^* = \langle \psi | \psi \rangle = 1$ .

Properties of density operators:

•  $\rho_A^+ = \rho_A$

•  $\rho_A \geq 0$

•  $\text{tr}(\rho_A) = 1$

Note: Consequence: For  $0 < p < 1$ , if  $\rho$ 's density ops,  $p\rho + (1-p)\rho'$  is also density op  $\Rightarrow$  density ops form convex set!

Is  $\rho_A$  uniquely determined by

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$$\text{tr}[\Pi \rho_A] = \langle \psi |_{AB} \Pi \otimes I |\psi \rangle_{AB} ?$$

Yes:  $\text{tr}[X^\dagger Y]$  is scalar product, & overlap of  $\psi_A$  w/  
all hrm. M determines hrm. part of  $\rho_A$  entirely!

(Consequence: All meas on  $\rho_A$  meaningful  $\rightarrow$  no phase  
ambiguity!)

What is  $\rho_A$  for pure state  $|\phi\rangle_A$ ?

$$\langle \phi | \Pi | \phi \rangle_A = \text{tr}[\langle \phi | \Pi | \phi \rangle] = \text{tr}[\Pi | \phi \rangle \langle \phi |]$$

$$\Rightarrow \rho = |\phi \rangle \langle \phi| \quad (\text{projector onto } |\phi\rangle).$$

Partial trace:

Given general state  $\rho_{AB}$  on  $A+B$  (e.g.  $\rho_{AB} = |\psi\rangle\langle\psi|$ ),  
what is descr. of meas  $M_A$  on A?

$$\begin{aligned} \text{tr}[(\Pi \otimes I) \rho_{AB}] &= \sum_{ij i' j'} \underbrace{\langle i j | \Pi \otimes I | i' j' \rangle}_{\delta_{jj'}} \langle i' j' | \rho_{AB} | i j \rangle \\ &= \sum_i \langle i | \Pi | i' \rangle \langle i' | \rho_{AB} | i j \rangle = \text{tr}[\Pi \cdot \rho_A], \end{aligned}$$